



INSTRUCTIONS FOR COMPLETING THE ENCLOSED: CONFIRMATION OF DIRECTORS & BENEFICIAL OWNERS FORM

Why is it required to open Canadian Accounts?

The Canadian Proceeds of Crime (Money Laundering) and Terrorist Financing Regulations require that Street Capital Bank of Canada obtain the following details from clients seeking a Canadian account/product/service and/or loan. The enclosed Confirmation of Directors and Beneficial Owners form is designed to assist us in the effort of obtaining the required details as to the identity of your organization's Directors and Beneficial Owners (entities and/or individuals that own 25% or more of the company).

What are the requirements?

Directors (Required for ALL directors)	
Full Legal Name:	
One of the following is required:	
Full Residential/Business Physical Address:	
Date of Birth:	
Government Issued ID Number:	

Beneficial Owners Required for ALL Beneficial Owners which are entities and/or individuals who own/control 25% or more of your entity (directly or indirectly).	
1) Full Legal Name	
2) Residential Address (With Country of Residency)	
3) Date of Birth	
4) Detailed Occupation	
5) Government Issued ID Number	



- Clients may provide their own official documentation in order to meet this requirement however, the enclosed form must be signed as an attestation is required.
- Form must be signed by an individual who is authorized to bind/sign on behalf of the entity as per the Board Resolution and/or Authority to Bind.

Detailed Occupations for Beneficial Owners Examples

Occupations of Beneficial Owners needs to have sufficient detail provided to allow us to understand the nature of their work. Examples include:

Acceptable: President, CEO, CFO, CCO, Corporate Secretary, Treasury Sales Officer, SVP of Securities, Director of Risk, IT Manager, Lawyer etc.

Unacceptable: Director, Owner, Consultant, Manager, Entrepreneur, Managing Director, Signing Officer and VP alone are not acceptable as we require further details to understand what they do for a living in order to comply with Canadian Anti-Money Laundering Regulatory requirements.



Confirmation of Directors & Beneficial Owners of _____ (Company)

Directors			
Full Legal Name	One of the following is required:		
	Full Residential or Business Physical Address	Date of Birth	Government Issued ID Number



Beneficial Ownership						
Full Legal Name of Beneficial Owner	Residential Address of Owner and Country of Residency	Government Issued ID Number	Date of Birth (n/a for entities)	Detailed Occupation/Nature of Work/Business ("Day-to-day job") for individuals	Ownership % (Direct or Indirect owners)	Ultimate Owner (Y/N) NOTE: If not "YES" then continue to enter the details of the legal names (entities and/or individuals) that <u>own/control 25% or more</u>
List all Individuals and/or Entities that own/control 25% or more of the company until the ultimate beneficial owner at the top of the corporate structure is identified and there are no further beneficial owners.						

I hereby confirm that all information provided to RFA Bank of Canada respecting _____ (Company) is accurate and complete, including the information provided respecting (1) the full names, addresses or dates of birth or ID numbers of the directors and (2) the full names, addresses, dates of birth, occupations, ID numbers and the percentage owned for all beneficial owners. RFA Bank of Canada reserves the right to request additional, updated customer information and/or additional supporting documentation at any time. I hereby acknowledge that _____ (Company) is a holding company and does not engage in any active business activities as an operating company.

PRINT NAME: _____

SIGNATURE: _____

DATE: _____

(Individual authorized to bind the entity)